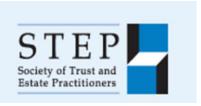
ANGUILLA'S FINANCIAL SERVICES INDUSTRY







FOUNDATION EVENT SERIES ONE

COMPANY MANAGERS AND TRUST COMPANIES

Wednesday, 12 June 2013 (8:45am – 12:40pm)

AGENDA

8.30 - 8:45am	Registration La Vue, Back Street, South Hill
8:50 - 9:05am	Introductory Remarks – Director, FSC – Keith Bell Compliance General Corporate Law Financial Services Statutory Legislation Requirements "The Net Closes"
9:10 - 9:30am	Obligations under POCA – Financial Analyst, FIU – La Fleur Dublin Role of MLRO/MLCO Obligations to report When to file a SAR Consequences of not filing a SAR
9:35 – 9:55am	 Developing and documenting Policies and Procedures – Regulator, FSC, Jacelle Richardson Need for Policies and Procedures Guidelines for AML/CFT Procedures Manual
10:00 – 10:40am	Business Risk Assessments and Customer Risk Assessments – Chairman, FSC - Helen Hatton Components of Business Risk AssessmentsCustomer Risk Assessments and Profiling
10:45 – 11:00am	Coffee
11:05- 11:25am	Corporate Governance – Head of AML/CFT & Legal Services, FSC – Tina Bryan-Bannister • Risk Analysis

- Board meetings and decisions
- Building stronger Policies and Procedures
- 11:30- 11:50am Customer Due Diligence Regulator, FSC Sherman Williams
 - Politically Exposed Persons (PEPs)
 - Enhanced Due Diligence
- 11:55 12:15pm Reliance on Introducers and Intermediaries Head of AML/CFT & Legal Services, FSC Tina Bryan-Bannister
 - Where is the risk?
- 12:20 12:40pm Discussion Forum